

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

PPLICATION (37 CFR §1.63)

Attorney Docket No.	SPECT1041US0
First Inventor	Bhupal Kanaiyalal Dharia
SC/Serial No.	09/750,592
Filing Date (mm/dd/yyyy)	12/28/2000 /
Group Art Unit	
Examiner Name	

DECLARATION SUBMITTED	
[ ] Declaration Submitted with Initial Filing, OR	
[ ] Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	

#### DECLARATION

#### As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM FOR FAST MACRODIVERSITY SWITCHING IN MOBILE WIRELESS NETWORKS

(Title of the Invention)

the specification of which  $\{\text{check }(\underline{\checkmark}) \text{ applicable ones}\}$ :

	is attached hereto;	
1	was filed with the above-identified "SC/Serial No." and "Filing Date" (national or PCT international);	
	was amended on (mm/dd/yyyy).	

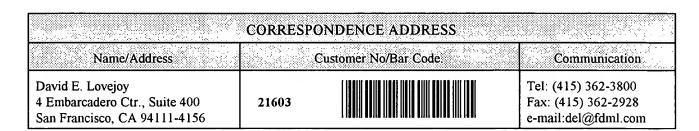
I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR\* 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

Copies of the following were provided for review by the Inventors before signing this DECLARATION:

37 CFR §1.56,

(z-del\_dutyCFR)



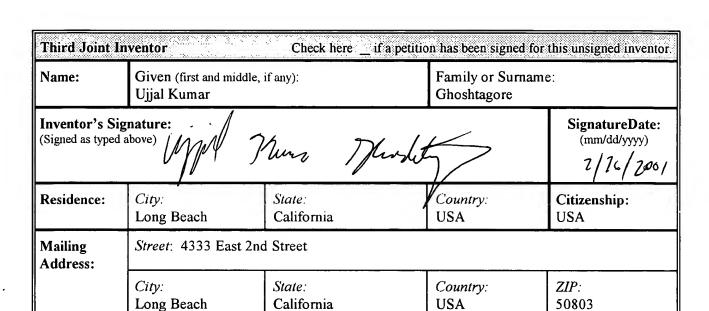
#### TRUE STATEMENTS

18 USC §1001

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Sole or First J	oint Inventor	Check hereif a petition	on has been signed for	this unsigned inventor
Name:	Given (first and middle, if any): Bhupal Kanaiyalal		Family or Surname: Dharia	
Inventor's Signature: (Signed as typed above)  M. Dherria				SignatureDate: (mm/dd/yyyy) 2/26/2001
Residence:	City: Cupertino	State: California	Country: USA	Citizenship: India
Mailing Address:	Street: 10768 Maxine Avenue			
•	City: Mountain View	State: California	Country: USA	<i>ZIP</i> : 95014

Second Joint Inventor Check here _ if a petition has been signed for this unsigned inventor				
Name:	Given (first and middle, if any): Gopal		Family or Sur Chillariga	name:
Inventor's Si (Signed as typed	gnature:			SignatureDate: (mm/dd/yyyy) FEB 26,2001
Residence:	City: Sunnyvale	State: California	Country: USA	Citizenship: India
Mailing Address:	· ·			
	City: Sunnyvale	State: California	Country: USA	<i>ZIP:</i> 94087



Fourth Joint Inventor Check here _ if a petition has been signed for this unsigned inventor.					
Name:	Given (first and middle, Rohit	Given (first and middle, if any):  Rohit		me:	
Inventor's Signature: (Signed as typed above)  And Carall.				SignatureDate: (mm/dd/yyyy)  Feb 26 <sup>th</sup> , 2001	
Residence:	City: Cupertino	State: California	Country: USA	Citizenship: India	
Mailing Address:	Street: 20197 Northbrook Square				
	City: Cupertino	State: California	Country: USA	<i>ZIP</i> : 95014	

Fifth Joint In	iventor	Check hereif	a petition has been signed	for this unsigned inventor.
Name:			Family or Suri Koepf	name:
Inventor's Si (Signed as typed		Mo	2	SignatureDate: (mm/dd/yyyy) 2/26/01
Residence:	City: Boulder	State: Colorado	Country: USA	Citizenship: USA
Mailing Address:				
	City: Boulder	State: Colorado	Country: USA	ZIP: 80304-1738

Sixth Joint Inventor Check here _ if a petition has been signed for this unsigned inventor					
Name:	Given (first and middle, if any): Lance Kazumi		Family or Surr Uyehara	name:	
Inventor's Si (Signed as typed	- <u>-</u>	ce K hyphana	•	SignatureDate: (mm/dd/yyyy) 4/9/a,	
Residence:	City: San Jose	State: California	Country: USA	Citizenship: USA	
Mailing Address:	Street: 1026 Del Cambre Drive				
	City: San Jose	State: California	Country: USA	<i>ZIP</i> : 95129	

FORM PTO-16

SUBMISSION TYPE New, Resubmission, Correction			CONVEYANCE TYPE  Assignment, License, Merger, Security Agreement, Name Change			
New Assignment						
CONVEYING	G PARTY (IES)	• 121		EXECU	TION DATE (m	ım/dd/yyyy)
Name(s): Bhu	upal Kanaiyalal Dharia; Gopa	al Chillariga; Ujja	l Kumar Ghoshtagore;	02/26/200	01; 02/26/2001; 02	2/26/2001
Rohit Kaushal;	Albert Gerhard Koepf; Land	ce Kazumi Uyehar	ra	02/26/20	01; 02/26/2001; 0	3/16/2001
RECEIVING	G PARTY		,			
Name:	mDiversity Inc.		<u> </u>			
Address:	150 Charcot Avenue, Sa	an Jose, Californ	ia 95131, USA			
CORRESPO	NDENT					
	Jame/Address		Customer No.		Commun	nication
David E. Lovejoy 4 Embarcadero Ctr., Suite 400 210 San Francisco, CA 94111-4156		21603	Tel: (415) 362-33 Fax: (415) 362-2 e-mail:del@fdml		-2928	
PAGES	Total nur	nber of pages of	attached conveyance docum	nent includir	ng attachments.	# 6
PA	TENT APPLICATION N	IMBER(S)		PATENT NI	IMBER(S)	
09750592			Ber Schiller Street Street School Street Schiller		JANUARY SEE .	<u> </u>
If filed with a	new Patent application, the	he date signed by	y the first executing inventor	l r (mm/dd/yy):	<b></b> :	
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STATEMEN	NT AND SIGNATURE					
						·
To the best of the original		f, the foregoing	information is true and cor	rect and any	attached copy i	is a true copy

April 11, 2001

## **JOINT TO CORPORATE ASSIGNMENT**

### WHEREAS, the undersigned Inventors:

	Inventor(1)
Name	Bhupal Kanaiyalal Dharia
Residence Address	10768 Maxine Avenue, Cupertino, California 95014, USA

#### and

Inventor(2)			
Name	Gopal Chillariga		
Residence Address	125 Connemara Way, #160, Sunnyvale, California 94087, USA		

#### and

Inventor(3)				
Name	Ujjal Kumar Ghoshtagore			
Residence Address	4333 East 2nd Street, Long Beach, California 90803, USA			

#### and

Inventor(4)			
Name	Rohit Kaushal		
Residence Address	20197 Northbrook Square, Cupertino, California 95014, USA		

#### and

Inventor(5)			
Name Gerhard Albert Koepf			
Residence Address	700 Kalmia Avenue, Boulder, Colorado 80304-1738, USA		

and

Inventor(6)				
Name	Lance Kazumi Uyehara			
Residence Address	1026 Del Cambre Drive, San Jose, California 95129, USA			

have invented certain new and useful improvements in:

# SYSTEM FOR FAST MACRODIVERSITY SWITCHING IN MOBILE WIRELESS NETWORKS

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

í 🗸	[ ✓ ] For which the application for United States Patent was filed.				
Filed Date (mm/dd/yyyy):		12/28/2000			
	SC/Serial Number:	09/750,592			

or

[	]	For which the declaration or oath for the application for United States Patent was executed.		
	Ex	ecution Date (mm/dd/yyyy):		

WHEREAS, the ASSIGNEE is a corporation which has the Name, is organized and exists under the laws of the State of Incorporation and has a principal Place of Business as follows:

	ASSIGNEE		
Name:	mDiversity Inc.		
State of Incorporation:	Delaware, U.S.A.		
Place of Business:	150 Charcot Avenue, San Jose, California 95131		

WHEREAS the ASSIGNEE wants to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

- 1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.
- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
- 5. Each said Inventor hereby authorizes and requests the Commissioner for Patents to issue any and all of said patents in the United States to said Assignee, for Assignee's full use and enjoyment and for the use and enjoyment of Assignee's legal representatives and assigns, to the full end of the term for which said patents may be granted, as fully and entirely as the same would have been held by said Inventors had this Assignment not been made.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date of acknowledgment before the Notary Public as given below and delivered this instrument to said Assignee.

***************************************
(1) L. Maria
(Inventor(1)'s Signature)
State of CALIFORNIA )
County of JANTA CLARA
on FEBRUARY 26, 2001 before me, MANIE W. DATA NOTARY PUBLIC.
(name and title of officer) personally appeared Bhupal Kanaiyala Dharia, personally known to me (or proved to me on the basis
of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature is on the instrument the person is or the entity upon behalf of which
the person acted, executed the instrument.
WITNESS my hand and official seal.
Signature Milliam Santa Clara County My Comm. Expires Jul 10, 2004
$(2) \qquad \qquad (2)$
(Inventor(2)'s Signature)
State of CALIFORNIA
County of JANTA CIARA
On FEBRUARY 26, 2001 before me, MEURNIE W. DATTA, NOTARY PUBLIC
(name and title of officer) personally appeared Gopal Chillariga, personally known to me (or proved to me on the basis of satisfactory evidence) to be the personal whose parents is less subscribed to the wide in the personal satisfactory evidence.
satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he acknowle
that by his/ signature (a) on the instrument the person(a), or the entity upon behalf of which the person(a) acted, executed the instrument.
WITNESS my hand and official seal.
Signature MELANIE W. DATTA Commission # 1270316 Nordy Public - California Santa Clara County My Comm. Expires Jul 10, 2004

Attorney Doc No: SPECT1041US0 M:\del\wp\spect\1041pa\sb15jo.010119.fi.wpd

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***************************************
(3) My Muma Modely (Invertor(3)'s Signature)
(Invertor(3)'s Signature)
State of
County of ANTA CURA
On FEBRUARY 26, 200 before me, MELAUEN DATTA, NOTARY PUBLIC,
personally appeared <u>Uijal Kumar Ghoshtagore</u> , personally known to me (or proved to me on the basis of satisfactory evidence) to be the person() whose name is is/set subscribed to the within instrument and acknowledged to me that he/set subscribed to the within instrument and acknowledged to me that he/set subscribed to the within instrument and acknowledged to me that he/set subscribed to the within instrument and that by his/set subscribed to the within instrument the person(), or the entity upon behalf of which the person() acted, executed the instrument.
WITNESS my hand and official seal.  MELANIE W. DATTA Commission # 1270316 Notary Public - California
Signature Wellaw Sulf Sound Sound My Comm. Expires Jul 10, 2004
(4) Monit Carsey.
(Inventor(4)'s Signature)
State of LACIFORNIA
County of JANTA CLARA
On FEBRUARY 26, 2001 before me, MELANIE W. DAMA, NOTARY PUBLIC,
personally appeared Rohit Kaushal, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is a subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity (i.e.), and that by his/satisfactory evidence on the instrument the person (ii), or the entity upon behalf of which the person (iii) acted, executed the instrument.
WITNESS my hand and official seal.  Signature William

***************
(5) Jen Koert
(Inventor(5)'s Signature)
State of <u>CALIFORNIA</u>
County of JANTA (JARA)
On FBUARY 26, 2001 before me ALLANE NO DATA NOTARY PUBLIC (name and title of officer)  personally appeared Albert Gerhard Koopf, personally known to me (or proved to me on the basis of
Gerhard Albert Koepf (name and title of officer) personally appeared Albert Gerhard Koepf, personally known to me (or preved to me on the basis of
satisfactory evidence) to be the person(s) whose name is is/ess subscribed to the within instrument and acknowledged to me that he/electron executed the same in his/essettless authorized capacity(ics), and
that by his signature on the instrument the person cated, executed the instrument.
MELANIE W. DATTA
WITNESS my hand and official seal.  Commission # 1270316  Notary Public - California
Signature Signat
*****************
(6) <u>famaklajehan</u> (Inventor(6)'s Signature)
/ / .
State of CAUFORNIA
State of CANTA CLARA
County of DANTA CLARA  On MARCH 16, 2001 before me, MELANIE W. DATTA, NOTARY PUBLIC,
County of SANTA CLARA  On MARCA 16, 2001 before me, MEANE W DATA VORARY PUBLIC, (name and title of officer)  personally appeared Lance Kazumi Uyehara, personally known to me (or proved to me on the basis of
County of SANTA CLARA  On MARCH 16, 1001 before me, MEAN W. W. DATA, NOTARY PUBLIC, (name and title of officer)  personally appeared Lance Kazumi Uyehara, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and
County of SANTA CLARA  On MARCA 16, 2001 before me, MEANE W DATA VORARY PUBLIC, (name and title of officer)  personally appeared Lance Kazumi Uyehara, personally known to me (or proved to me on the basis of
County of SANTA CLARA  On MARCA 16, 2001 before me, MELANTE WORK PUBLIC, (name and title of officer)  personally appeared Lance Kazumi Uyehara, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is is subscribed to the within instrument and acknowledged to me that he/sharks executed the same in his/sharks authorized capacity (in), and that by his/sharks signature on the instrument the person on the entity upon behalf of which the
County of State of CLARA  On March 16, 1001 before me, March 10 DATA, NOTARY PUBLIC, (name and title of officer)  personally appeared Lance Kazumi Uyehara, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is is/marsubscribed to the within instrument and acknowledged to me that he/marking executed the same in his/marking authorized capacity(in), and that by his/marking signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.
County of SANTA CLARA  On Marca 16, 1001 before me, Marca 15 (name and title of officer)  personally appeared Lance Kazumi Uyehara, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is is authorized capacity and that by his/basis signature on the instrument the person acted, executed the instrument.  WITNESS my hand and official seal.  Signature Marca 16, 1001 before me, Marca 16, 1004 person 16, 1004
County of SANTA CLARA  On MARCA 16, 2001 before me, Marca Norary Public, Iname and title of officer)  personally appeared Lance Kazumi Uvehara, personally known to me (or proved to me on the basis of satisfactory evidence) to be the personal whose name is is subscribed to the within instrument and acknowledged to me that he executed the same in his/harda authorized capacity and that by his/harda signature on the instrument the personal, or the entity upon behalf of which the personal acted, executed the instrument.  WITNESS my hand and official seal.  Signature Marca 16, 2001 before me, Marca 12, 2001 in the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis of satisfactory evidence) to be the personally known to me (or proved to me on the basis
County of SANTA CLARA  On MARCA 16, 2001 before me, March 10 personally appeared Lance Kazumi Uyehara, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is is unassubscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity (in), and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.  WITNESS my hand and official seal.  Signature Allaham And Andrew Data Commission # 1270316



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

POWER OF ATTORNEY	Application SC/File No.	09/750,592
(Assignee to Exclusion of Inventor)	Filing Date	12/28/2000
	First Named Inventor	Bhupal Kanaiyalal Dharia
	Group Art Unit	
	Examiner Name	
	Attorney Docket No.	SPECT1041US0

APPOINTMENT	37CFR§3:71	
[/] The below-identified Assignee of record of the entire interest hereby appoints David E. Lovejoy, identified in the CORRESPONDENCE ADDRESS below, as its attorney to prosecute this application and transact all business in the United States Patent & Trademark Office connected therewith; said appointment to be to the exclusion of the inventor(s) and the inventor's(s') attorney(s) in accordance with the provisions of 37CFR§3.71.		
[/] Please direct all correspondence to the CORRESPONDENCE ADDRESS below.		
[ ] STATEMENT UNDER 37 CFR. §3.73(b) certifying entire interest attached.	(sb96)	
[✓] Small Entity Claim Made for Assignee.	(z_del-sm_entityCFR)	

CORRESPONDENCE ADDRESS				
Name/Reg: No /Address		Customer No	Communication	
David E. Lovejoy (Reg. No.:22,748) 4 Embarcadero Ctr., Suite 400 San Francisco, CA 94111-4156	21603		Tel: (415) 362-3800 Fax: (415) 362-2928 e-mail:del@fdml.com	

SIGNATURE OF ASSIGNEE OF RECORD			
Assignee Nan	e: mDiversity Inc.		
Assignee Type (Corporation, Partnership, .	.): a Delaware Corporation		
Name Of Signor (type or prin	t): Gerhard Albert Koepf		
Signor's Title (Corporate Office or Positio	n): Chief Technology Officer		
Signature Date: Signature 2/26/0/	e: Jan Koef		



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## STATEMENT UNDER 37 CFR 3.73(b)

(Filed With sb81, POA)

Patent/Application SC/File No.	09/750,592
Issuing/Filing Date	12/28/2000
First Named Inventor	Bhupal Kanaiyalal Dharia
Group Art Unit	
Examiner Name	
Attorney Docket No.	SPECT1041US0

The	below-io	lentified Assignee of record states that it is:
	<b>[√]</b> 1.	The assignee of the entire right, title, and interest; or
	[ ]2.	An assignee of less than the entire right, title and interest.  The extent (by percentage) of its ownership interest is%

A. [ ] Assignment Directly From Inventor(s) of patent application/patent identified above.

The assignment was recorded in the United States Patent and Trademark Office at Reel/Frame \_\_\_/\_\_ Number Of Pages\_\_\_, or for which a copy thereof is attached.

#### **AUTHORITY**

The undersigned (whose title is supplied below) is authorized to act on behalf of and sign this certification on behalf of the Assignee.

SIGNATURE OF ASSIGNEE OF RECORD			
Assignee Name:		mDiversity Inc.	
Assignee Type (Cor	poration, Partnership,):	a Delaware Corporation	
Name O	f Signor (type or print):	Gerhard Albert Koepf	
Signor's Title (Corp	porate Office or Position):	Chief Technology Officer	
Signature Date: 2/26/0/	Signature:	In Roef	
· · · · · · · · · · · · · · · · · · ·			